

P1085PW



PTAS

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

GENENTECH, INC.
RICHARD B. LOVE
1 DNA WAY

JANUARY 03, 2001

SOUTH SAN FRANCISCO, CA 94080

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/03/2000

REEL/FRAME: 011202/0462

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR''S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HSEI, VANESSA DOC DATE: 04/05/2000

ASSIGNOR:

KOUMENIS, IPHIGENIA DOC DATE: 04/03/2000

ASSIGNOR:

LEONG, STEVEN DOC DATE: 04/03/2000

ASSIGNOR:

SHAHROKH, ZAHRA DOC DATE: 04/09/2000

ASSIGNOR:

ZAPATA, GERARDO DOC DATE: 05/10/2000

ASSIGNEE:

GENENTECH, INC.

1 DNA WAY

SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

011202/0462 PAGE 2

SERIAL NUMBER: 09489394 PATENT NUMBER:

FILING DATE: 01/21/2000

ISSUE DATE:

SEDLEY PYNE, PARALEGAL ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

### ASSIGNMENT

WHEREAS, Vanessa Hsei, a citizen of USA, residing at 5047 Capistrano Ave, San Jose, CA 95129, and Iphigenia Koumenis, a citizen of USA, residing at 3421 York Road, Winston-Salem, NC 27104, and Steven Leong, a citizen of USA, residing at 1914 Eldorado Ave, Berkeley, CA 94707, and Zahra Shahrokh, a citizen of USA, residing at 24 Sotelo Ave San Francisco CA 94116, and Gerardo Zapata, a citizen of USA, residing at 785 Widgeon St. Foster, City CA 94404 (hereinafter "ASSIGNORS"), have invented a new and useful invention in

### ANTIBODY FRAGMENT-POLYMER CONJUGATES AND USES OF SAME

for which an application Serial No. 09/489,394 (Docket No. P1085R6) for Letters Patent has been filed by them on 21 January 2000; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080-4990, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor;

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

Said ASSIGNORS covenant and agree to cooperate with GENENTECH, INC., to enable said GENENTECH, INC. to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said ASSIGNORS includes prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said GENENTECH, INC., (a) for perfecting the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting applications for reissuance of any of said patents; (d) for interference or other priority proceedings involving said invention; and (e) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said ASSIGNORS in providing such cooperation shall be paid for by said GENENTECH, INC.

The terms and covenants of this assignment shall inure to the benefit of said GENENTECH, INC., its successors, assigns and other legal representatives, and shall be binding upon said ASSIGNORS, their respective heirs, legal representatives and assigns.

Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

South San Francisco	
Dated:	Vanessa Hsei
South San Francisco Dated: 4/03/00つ	Iphigenia Koumenis
South San Francisco  Dated:	Steven Leong
South San Francisco  Dated:	Zahra Shahrokh
South San Francisco  Dated:	Gerardo Zapata

South San Francisco	
Dated:	Vanessa Hsei
South San Francisco  Dated:	Iphigenia Koumenis
South San Francisco  Dated: 4-3-00	Steven Leong
South San Francisco  Dated:	Zahra Shahrokh
South San Francisco  Dated:	Gerardo Zapata

South San Francisco  Dated: 4/5/00	Vanessa Hsei
South San Francisco  Dated:	Iphigenia Koumenis
South San Francisco  Dated:	Steven Leong
South San Francisco  Dated: 4/9/00	Zahra Shahrokh
South San Francisco  Dated:	Gerardo Zapata

South San Francisco	Vanessa Hsei	
Dated:	vanessa i isei	
South San Francisco  Dated:	Iphigenia Koumenis	
South San Francisco	Steven Leong	
Dated:		
South San Francisco  Dated:	Zahra Shahrokh	
South San Francisco Dated: May 10, 2000	Gerardo Zapata	





GENENTECH, INC. LEGAL DEPT. PTAS

GENETECH, INC. RICHARD B. LOVE 1 DNA WAY SOUTH SAN FRANCISCO, CA 94080

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231



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RECORDATION DATE: 05/20/1999

REEL/FRAME: 010021/0349

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HSEI, VANESSA

DOC DATE: 04/28/1999

ASSIGNOR:

KOUMENIS, IPHIGENIA

DOC DATE: 04/28/1999

ASSIGNOR:

LEONG, STEVEN

POC DATE: 05/04/1999

ASSIGNOR:

PRESTA, LEONARD

DOC DATE: 04/26/1999

ASSIGNOR:

SHAHROKH, ZAHRA

DOC DATE: 05/02/1999

ASSIGNOR:

ZAPATA, GERARDO

DOC DATE: 05/10/1999

010021/0349 PAGE 2

ASSIGNEE:

GENETECH, INC. 1 DNA WAY SOUTH SAN FRANCISCO, CALIFORNIA

94080-4990

SERIAL NUMBER: 09234182

PATENT NUMBER:

FILING DATE: 01/20/1999

ISSUE DATE:

MAURICE CARTER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

MAY 2 0 1999 Wistant Commission 4 Po 101068097

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Atty Dkt No: P1085R4-1A

ts or copy thereof.

1. Name of conseying party(ies):

Vanessa Hsei Iphigenia Koumenis Steven Leong Leonard Presta Zahra Shahrokh Gerardo Zapata

Additional name(s) of conveying party(ies) attached? Yes X No

2. Name and address of receiving party(ies):

Name: GENENTECH, INC.

Internal Address:

Street Address: 1 DNA Way

City: South San Francisco State: California

ZIP: <u>94080-4990</u>

Additional name(s) & address(es) attached? Yes X No

3. Nature of conveyance:

X Assignment

Merger

Security Agreement

Change of Name

Other:

Execution Date: 4/26/99; 4/28/99; 5/2/99; 5/4/99; 5/10/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/234,182

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard B. Love GENENTECH, INC. 1 DNA Way South San Francisco, CA 94080

- 6. Total number of applications and patents involved: 1
- 7. Total fee (37 CFR 3.41): \$40.00
  - Enclosed
  - X Authorized to be charged to deposit account
- 8. Deposit account number: 07-0630

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard B. Love Name of Person Signing Registration No. 34,659



May 17, 1999 Date

Total number of pages comprising cover sheet, attachments and document: 7

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner of Patents

Docket No. P1085R4-1A

#### ASSIGNMENT

WHEREAS, Vanessa Hsei, a citizen of USA, residing at 5047 Capistrano Ave, San Jose, CA 95129, and Iphigenia Koumenis, a citizen of USA, residing at 3820 Park Boulevard, Palo Alto, CA 94306, and Steven Leong, a citizen of USA, residing at 1914 Eldorado Ave, Berkeley, CA 94707, and Leonard Presta, a citizen of USA, residing at 1900 Gough Street #206, San Francisco, CA 94109, and Zahra Shahrokh, a citizen of USA, residing at 24 Sotelo Ave San Francisco, CA 94116, and Gerardo Zapata, a citizen of USA, residing at 785 Widgeon St. Foster, City, CA 94404 (hereinafter "ASSIGNORS"), have invented a new and useful invention in

# ANTIBODY FRAGMENT-POLYMER CONJUGATES AND HUMANIZED ANTI-IL-8 MONOCLONAL ANTIBODIES

for which an application Serial No. 09/234,182 (Docket No. P1085R4-1A) for Letters Patent has been filed by them on 20 January 1999; and

WHEREAS, GENENTECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, California 94080, is desirous of acquiring an interest in and to said invention, and in and to the Letters Patents to be obtained therefor:

NOW, THEREFORE, be it known by all whom it may concern;

That for good and valuable consideration the receipt of which is hereby acknowledged, the said ASSIGNORS have and do hereby sell, assign, transfer and set over unto the said GENENTECH, INC., its successors and assigns, the full and exclusive right, title and interest including all rights under the Paris Convention for the Protection of Industrial Property, in and to said invention, and in and to any and all Letters Patents to be granted and issued therefor or any continuation, division, renewal, or substitute thereof, and as to Letters Patents any reissue or re-examination thereof, not only for, to, and in the United States of America, its territories and possessions, but for, to and in all other countries; and it has been and is hereby authorized and requested that the appropriate government agencies issue said Letters Patents to said GENENTECH, INC., in accordance with this Assignment.

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Said ASSIGNORS hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

South San Francisco  Dated: 4/28/99	Vanessa Hsei
South San Francisco  Dated: 4/28/99.	Iphigenia Koumenis
South San Francisco  Dated:	Steven Leong
South San Francisco  Dated: 4/26/99	Seonard Presta Leonard Presta
South San Francisco  Dated: 5/2/65	Zahra Shahrokh
South San Francisco Dated: 5/10/99	Gerardo Zapata

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South San Francisco	
Dated:	Vanessa Hsei
South San Francisco  Dated:	Iphigenia Koumenis
South San Francisco Dated: <u>5-니-9</u> 9	Steven Leong
South San Francisco Dated:	Leonard Presta
South San Francisco  Dated:	Zahra Shahrokh
South San Francisco	Gerardo Zapata



## **CERTIFICATE OF MAILING**

**5/17/99**: Date of Deposit

I hereby certify that the attached Recordation Form Cover Sheet and Assignment are being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX ASSIGNMENT, Assistant Commissioner of Patents, Washington, D.C. 20231.

Yvonne E. Carter